Docket No.: 1014-072US01 / JNP-0323

# MANAGEMENT INTERFACE HAVING FINE-GRAIN ACCESS CONTROL USING REGULAR EXPRESSIONS

### **TECHNICAL FIELD**

[0001] The invention relates to computing devices and, more particularly, to controlling access to configuration data associated with a computing device.

#### BACKGROUND

[0002] A computer network is a collection of interconnected devices that exchange data and share resources. Certain devices within a network, referred to as routers, maintain routing information that describes available routes through the network. Each route defines a path between two locations on the network. Upon receiving an incoming data packet, the router examines header information within the packet to identify the destination for the packet. Based on the header information, the router accesses the routing information, selects an appropriate route for the packet and forwards the packet accordingly. [0003] Conventional routers typically provide a mechanism, referred to herein as a management interface, by which authorized clients, such as human system administrators or automated scripts, directly or remotely access and configure "resources" within the router and obtain operational information for those resources. For example, using a management interface, the clients are able to make changes to the present configuration of a router, manage the router's policies and relationships with other routers, configure interface cards of the router, adjust parameters for the supported network protocols, specify the physical components within the routing device, modify the routing information maintained by the router, access software modules executing on the router, and the like. In addition, the management interface provides access to a variety of information relating to the internal resources of the router, including router chassis inventory, system parameters, routing policies, forwarding options, network flow statistics, error logs, user information, and performance metrics.

[0004] Most routers provide a form of "course-grain" access control in which the internal resources are logically aggregated into groups, often in the form of a configuration hierarchy, and user access is controlled on a per-group basis. For example, one typical implementation

is to assign each user an authorization level. When a user attempts to access a resource with the router, the management interface determines whether the user has a sufficient authorization level to access the resource. If the user's authorization level is sufficient, the user is permitted to access, view, or otherwise configure the resource. If not, the management interface denies access.

[0005] Another typical implementation is to associate each user with a set of permission bits. Each permission bit is mapped to one of the groups of resources. By setting the permission bits associated with a particular user, the user can be selectively given access to the respective resource groups of the router.

[0006] As the complexity of routers continually increases, there has been an increasing need to provide adequate user-level access control to the myriad of resources and information associated with a given router. For example, a typical router within the Internet, such as a router used by an Internet Service Provider, may have hundreds or even thousands of interfaces supporting thousands of different customers. These so called course-grain control techniques often do not scale well as they, for example, may require an unworkable number of authorization levels or permission bits. As a result, it is often difficult to adequately provide user-level access control to the numerous resources within a router.

#### **SUMMARY**

[0007] In general, the invention is directed to techniques for controlling access to resources within a device. As used herein, the term "resource" generally refers to any item within the router that may be managed, viewed, updated or otherwise accessed via a management interface presented by the router. For example, the term resource includes configuration parameters, defined routing and forwarding policies and peer relationships, protocols, firewalls, interfaces, router chassis inventory, system parameters, filters, Quality of Service (QoS) settings, network flow statistics, error logs, user information, and performance metrics the like.

[0008] Consistent with the principles of the invention, a management interface of the device supports a class syntax for defining authorization classes for controlling the access rights of remote clients. The class syntax supports a set of attributes, including a *permissions* attribute that provides course-grain access control over groups of resources. The class syntax supports

two additional class attributes that may optionally be used in conjunction with the *permissions* attributes to provide fine-grain access control to the resources. In particular, an *allow-configuration* attribute and a *deny-configuration* attribute can be used to provide explicit, fine-grain access control. Each of these attributes can be associated with a respective "regular expression," which generally refers to a formula that is used to match patterns within textual data. The device applies the regular expressions associated with the fine-grain access control class attributes to evaluate text-based commands provided by the clients, and to selectively allow or deny access requests to access configuration data within the device based on the evaluation. The device may apply the regular expressions in real-time to process configuration commands as the clients enter, e.g., type, the commands. [0009] In one embodiment, a method comprises storing authorization data that defines an access control attribute and an associated regular expression specifying a textual pattern, and evaluating the command using the regular expression to determine whether the command matches the textual pattern. The method further comprises controlling access to configuration data of a device based on the evaluation.

[0010] In another embodiment, a method comprises storing configuration data for a device, wherein the configuration data is arranged in the form of a multi-level configuration hierarchy having a plurality of objects, each of the objects having a textual label and representing a portion of the configuration data. The method further comprises storing authorization data defining an access control attribute and an associated regular expression defining a textual pattern that identifies a set of one or more of the objects within the configuration hierarchy, applying the regular expression to a command to determine whether the command requests access to any of the objects within the set, and controlling access to configuration data of the device based on the determination.

[0011] In another embodiment, a method comprises receiving input defining an access control attribute and an associated regular expression that specifies a textual pattern, and preprocessing the regular expression to automatically insert one or more meta-characters into the regular expression. The method further comprises evaluating a command in real-time using the regular expression as a client enters the command via a command line interface, and controlling access to configuration data of the device based on the evaluation.

[0012] In another embodiment, a computer-readable medium contains instructions that cause a programmable processor to store authorization data that defines an access control attribute and an associated regular expression defining a textual pattern, evaluate the command using the regular expression to determine whether the command matches the textual pattern, and control access to configuration data of a device based on the evaluation.

[0013] In another embodiment, a device comprises a computer-readable medium and a management interface. The computer-readable medium stores configuration data and authorization data. The authorization data defines an access control attribute and an associated regular expression specifying a textual pattern. The management interface receives a text-based command to access the configuration data, evaluates the command using the regular expression, and controls access to the configuration data based on the evaluation.

[0014] In another embodiment, a device comprises a computer-readable medium and a management interface. The computer-readable medium comprises configuration data arranged in the form of a multi-level configuration hierarchy having a plurality of objects, each of the objects having a textual label and representing a portion of the configuration data, and authorization data that defines an access control attribute and an associated regular expression specifying a textual pattern. The textual pattern identifies a set of one or more of the objects within the configuration hierarchy. The management interface applies the regular expression to a command to determine whether the command requests access to any of the objects within the set, and controls access to the configuration data based on the determination.

[0015] In another embodiment, a device comprises a computer-readable medium that stores configuration data, and a management interface that receives input defining an access control attribute and an associated regular expression that specifies a textual pattern. The management interface pre-processes the regular expression to automatically insert one or more meta-characters into the regular expression, and stores the access control attribute and the pre-processed regular expression as authorization data to control access to the configuration data.

[0016] The details of one or more embodiments of the invention are set forth in the accompanying drawings and the description below. Other features, objects, and advantages of the invention will be apparent from the description and drawings, and from the claims.

## **BRIEF DESCRIPTION OF DRAWINGS**

[0017] FIG. 1 is a block diagram illustrating an example system in which a network device supports fine-grain access control consistent with the principles of the invention.

[0018] FIG. 2 is a block diagram illustrating an exemplary embodiment in which the network device of FIG. 1 is a network router.

[0019] FIG. 3 illustrates an example of configuration data arranged in the form of a multilevel configuration hierarchy having a plurality of inter-related objects.

[0020] FIG. 4 is a flowchart illustrating operation of the network device when interacting with a client to define an authorization class having fine-grain access control attributes.

[0021] FIG. 5 is a flowchart illustrating operation of the network device in applying the authorization class to provide access control to configuration data.

#### **DETAILED DESCRIPTION**

[0022] FIG. 1 is a block diagram illustrating an example system 10 in which a network 12 includes a network device 14 that supports fine-grain access control consistent with the principles of the invention. Network 10 represents any public or private computer network. Network device 14 represents any device operating within network 10, such as an intelligent hub, switch, router, firewall, gateway, or the like. Although illustrated in reference to a network device, the techniques described herein may readily be applied to any computing device.

[0023] Network device 14 presents an interface, referred to as management interface, that allows various clients 20A-20N ("clients 20"), such as human users and automated scripts, to configure network device 14. For example, network device 14 may be a network router, and clients 20 may provide configuration data to configure interface cards of the router, adjust parameters for the supported network protocols, specify the physical components within the

router, modify the routing information maintained by the router, access software modules and other resources residing on the router, and the like.

[0024] As described in detail, network device 14 supports fine-grain access control using regular expressions. More specifically, network device 14 supports a syntax for defining authorization classes for controlling the access rights of clients 20. The class syntax supports a set of attributes, including a *permissions* attributes for providing course-grain access control. The class syntax supports two additional class attributes that may optionally be used in conjunction with the *permissions* attribute to provide fine-grain access control. In particular, an *allow-configuration* attribute and a *deny-configuration* attribute can be used to provide explicit, fine-grain access control to particular portions of the configuration data maintained by network device 14.

[0025] Each of these attributes can be associated with a respective "regular expression," which generally refers to a formula that is used to match patterns within textual data. Network device 14 applies the regular expressions associated with the fine-grain access control class attributes to evaluate text-based commands provided by clients 20, and selectively allows or denies access requests to configuration data with the network device based on the evaluation of the regular expressions and the course-grain access control attributes. In one embodiment, network device 14 applies the regular expressions in real-time to process configuration commands from clients 20 as the clients enter, e.g., type, the commands.

[0026] FIG. 2 is a block diagram illustrating an exemplary embodiment in which network device 14 of FIG. 1 comprises a network router. In the illustrated embodiment, network device 14 includes a set of one or more interface cards (IFCs) 26 that receive and send packets via network links 28 and 30, respectively. IFCs 26 are typically coupled to network links 28, 30 via one or more interface ports.

[0027] Network device 14 further comprises a control unit 32 that maintains routing information 34. Routing information 34 describes the topology of network 12 and, in particular, routes through network 12. Routing information 34 may include, for example, route data that describes various routes within network 12, and corresponding next hop data indicating appropriate neighboring devices within network 12 for each of the routes. Control unit 32 periodically updates routing information 34 to accurately reflect the topology of

network 12. In general, control unit 32 receives a packet via inbound network link 28, determines a network destination for the packet, and outputs the packet on an outbound network link 30 based on the determination.

[0028] Control unit 32 provides a management interface 38 by which a client, such as client 20A, for example, directly or remotely accesses and configures resources within network device 14 and obtains operational information for those resources. In particular, client 20A may view, modify, or otherwise access configuration data 40, which generally represents any parameters, data, settings, information, and the like, relating to operation of network device 14. For example, configuration data 40 may include system parameters, routing policies, forwarding options, network flow statistics, error logs, user information, router chassis inventory, and performance metrics. By interacting with management interface 38, client 20A is able to access configuration data 40 to manage the router's policies and relationships with other routers, configure IFCs 26, adjust parameters for the supported network protocols, specify the physical components within the routing device, modify the routing information 34, access software modules executing on the router, and the like.

[0029] Management interface 38 controls access to configuration data 40 in accordance with authorization data 42, which defines authorization classes in accordance with a class syntax. Each authorization class includes a *permissions* attributes for providing course-grain access control, and optionally includes an *allow-configuration* attribute and a *deny-configuration* attribute to provide explicit, fine-grain access control to particular portions of the configuration data 40.

[0030] In one embodiment, configuration data 40 is arranged in the form of a multi-level configuration hierarchy having a plurality of inter-related objects. Each object has a textual label, e.g., a name, and represents a portion of configuration data 40 that relates to one or more resources of network device 14. Regular expressions associated with the *allow-configuration* attribute and *deny-configuration* attributes provide explicit, fine-grain access control over portions of configuration data 40 by defining textual patterns that match the textual labels of one or more of the objects within the configuration hierarchy. In this manner, the regular expressions associated with the fine-grain access control attributes may specify complex formulas identifying objects at any level of the configuration hierarchy, and are not limited to controlling access to higher-level objects, as are many conventional course-

grain techniques. Control unit 32 may store configuration data 40 and authorization data 42 on one or more computer-readable media, and in the form of one or more text files, databases, tables, data structures, combinations thereof, or the like.

[0031] Management interface 38 may be a command line interface (CLI) or other suitable interface, for processing user or script-driven commands. In other words, management interface may support a command syntax for processing text-based commands entered by client 20A. Upon receiving a command from client 20A, management interface 38 parses the command and updates configuration data 40. During this process, management interface 38 accesses authorization data 42 and prevents client 20A from accessing portions of configuration data 40 for which the client is not authorized. In one embodiment, as described in further detail herein, management interface 38 provides a form of real-time authorization while client 20A is entering the command.

[0032] In one embodiment, authorization data 42 is text-based and conforms to a class syntax in which authorization classes can be defined for one or more users. For example, an authorization class may be defined within authorization data 42 to conform to the following class syntax:

```
class {
    name;
    permissions;
    allow-configuration regexp;
    deny-configuration regexp;
}
```

[0033] As illustrated, the exemplary class syntax supports a set of attributes. The *permissions* attribute represents a set of permission bits that are used for course-grain access control. In particular, each of bits is associated a high-level object within the hierarchy of configuration data 40, and provides course-grain access to the respective portions of the configuration data related to the respective objects.

[0034] In addition to the course-grain access control provided by the *permissions* attributes, the class syntax supports two class attributes that may optionally be used in conjunction with the *permissions* attribute to provide fine-grain access control to portions of configuration data 40. In particular, an *allow-configuration* attribute can be used to selectively authorize access to portions of configuration data 40 at any level of the configuration hierarchy. Similarly, a

deny-configuration attribute can be used to selectively deny access to portions of configuration data 40 at any level of the configuration hierarchy.

[0035] The architecture of network device 14 illustrated in FIG. 2 is for exemplary purposes only, and the principles of the invention are not limited to this architecture. Control unit 32 may operate according to executable instructions fetched from one or more computerreadable media. Examples of such media include random access memory (RAM), read-only memory (ROM), non-volatile random access memory (NVRAM), electrically erasable programmable read-only memory (EEPROM), flash memory, and the like. The functions of network device 14 may be implemented by executing the instructions of the computerreadable medium with one or more processors, discrete hardware circuitry, firmware, software executing on a programmable processor, or a combination of any of the above. [0036] FIG. 3 illustrates an example of configuration data 40 arranged in the form of a multilevel configuration hierarchy. In this example, configuration data 40 includes a root object 50 and a plurality of child objects 52A -52N ("child objects 52"). For exemplary purposes only three child objects 52 of root object 50 are illustrated: SYSTEM object 52A, FIREWALLS object 52B, and INTERFACES object 52N. As illustrated, each of the child objects 52 have associated textual labels, e.g., "SYSTEM," "FIREWALLS," and "INTERFACES." Each of these child objects 52 in turn have respective child objects dependent therefrom. Depending upon the complexity of network 12 and network device 14, configuration data 40 may be arranged as a hierarchy having numerous levels inter-relating thousands of objects or more.

[0037] The following pseudocode illustrates an example authorization class conforming to the above-described class syntax:

```
class {
    name example-user-class;
    permissions SYSTEM|FIREWALLS;
    allow-configuration "INTERFACES Ethernet fe_0/0/0";
    deny-configuration "SYSTEM login user f.*";
}
```

In this example, an authentication class is defined to have a class name of *example-user-class*. The *permissions* attribute that provides course-grain access control is set to allow users associated with the class to access portions of configuration data 40 associated with the

SYSTEM object 52A object and the FIREWALLS object 52N, as well as all lower-level objects depending from these objects in the hierarchy of the configuration data 40. As a result, management interface 38 of network device 14 generally prevents users associated with this exemplary authorization class from accessing other portions of configuration data 40, e.g., the interfaces of the network device that are associated within INTERFACES object 52B and its dependent objects.

[0038] Although the users are generally not given course-grain access, the allowconfiguration class attribute provides the users with selective authorization to access the portion of configuration data 40 corresponding to the particular Ethernet interface fe 0/0/0 object 54. Consequently, the users are prevented from accessing any portion of configuration data 40 associated with Sonet object 56, ATM object 58, and Ethernet object 60 other than fe 0/0/0 54. With respect to Ethernet interface fe 0/0/0 object 54, the allow-configuration class attribute authorizes the users to access portions of configuration data 40 associated with Ethernet interface fe 0/0/0 object 54 and any lower-level objects dependent therefrom. [0039] The permissions attribute grants course-grain access rights to the users for all portion of configuration data 40 related to SYSTEM object 52A, e.g., system parameters, user information, and the like. The deny-configuration attribute, however, instructs management interface 38 to selectively deny access to those portions of configuration data 40 that any object having a textual label that matches the regular expression "SYSTEM login user f.\*," e.g., the portion of configuration data 40 associated with foo object 62. In other words, users associated with the example authorization class are allowed to access all system-related portions of configuration data 40, but specifically denied access object having a textual label that matches the regular expression "SYSTEM login user f.\*."

[0040] FIG. 4 is a flowchart illustrating operation of network device 14 when interacting with client 20A to define an authorization class having fine-grain access control attributes. Initially, management interface 38 receives input defining an authorization class, including course-grain access control and optionally fine-grain access control attributes (70). Specifically, client 20A accesses authorization information 42 via management interface 38 and defines an authorization class, such as the exemplary authorization class *example-user-class* described above.

[0041] In response, management interface 38 parses the input (72) and determines whether fine-grain access control attributes, i.e., *allow-configuration* and *deny-configuration*, are defined within the authorization class (74). If no fine-grain access control attributes are defined within the class, management interface 38 updates authorization data 42 to store the defined authorization class (78).

[0042] If either of the allow-configuration and deny-configuration fine-grain access control attributes are included within the class, management interface 38 pre-processes the associated regular expressions provided by the user (76). For example, in reference to the exemplary authorization class described above, management interface 38 pre-processes the regular expressions "INTERFACES Ethernet fe\_0/0/0" and "SYSTEM login user f.\*." In particular, management interface 38 pre-processes the regular expressions to automatically insert meta-characters to ensure the regular expressions are correctly formulated in view of the hierarchical arrangement of configuration data 40.

[0043] As described above, the term "regular expression" generally refers to a formula that is used to match patterns within textual data. The formula is text-based and includes regular characters and "meta-characters." Regular characters include upper and lower case letters and digits. The meta-characters have special meanings, and are used to create powerful pattern matching formulas. In general, regular expressions are used to evaluate textual data, i.e., by applying the formula to the data and returning a binary value of true or false. More specifically, the regular expression returns a true when the regular expression matches a pattern within the textual data. Otherwise, the regular expression returns a false. For purposes of illustration, Table 1 provides an overview of meta-characters that are commonly supported by various implementations of regular expressions.

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TABLE 1

Meta-character	Description
•	Matches any single character.
\$	Matches the end of a line.
^	Matches the beginning of a line.
*	Matches zero or more occurrences of the character immediately preceding.
\	Used as a quoting character to treat a subsequent character as an ordinary character and not a meta-character.
[ ] [c1-c2] [^c1-c2]	Matches any one of the characters between the brackets.
	Or two conditions together.
+	Matches one or more occurrences of the character or regular expression immediately preceding.
?	Matches 0 or 1 occurrences of the character or regular expression immediately preceding.

[0044] Management interface 38 applies the regular expressions associated with fine-grain control attributes to evaluate text-based commands to selectively allow or deny access to portion of configuration data 42. Management interface 38 pre-processes the regular expressions to automatically insert meta-characters to ensure the regular expressions are correctly formulated in view of configuration data 40. In one embodiment, as described in more detail below, management interface 38 pre-processes the regular expressions to support real-time, fine-grain access control while client 20A enters a command. In this embodiment, management interface 38 applies the regular expressions each time client 20A enters a space or other token indicating a textual break within a command line to determine whether the client has authorization to access the portion of configuration data 40 being described by the command line.

[0045] For the *allow-configuration* attribute, management interface 38 pre-processes the associated regular expression to allow client 20A to enter commands reciting each level of the configuration hierarchy from root object 50 to the specifically allowed object. For example, management interface 38 pre-processes the regular expression "INTERFACES Ethernet fe 0/0/0" to a more explicit regular expression "INTERFACES | INTERFACES

Ethernet | ^INTERFACES Ethernet fe\_0/0/0". In this example, management interface 38 has pre-processed the regular expression and inserted meta-characters based on the hierarchical arrangement of configuration data 40. As a result, management interface 38 may apply the regular expression in real-time as the user enters, e.g., types, a command.

[0046] Consequently, the user is allowed to enter any of the following commands:

```
>show interfaces
>show interfaces Ethernet
>show interfaces Ethernet fe 0/0/0
```

each of which cause management interface 38 to display only that portion of configuration data 40 relating the Ethernet interface fe\_0/0/0. By applying the pre-processes regular expression "^INTERFACES|^INTERFACES Ethernet|^INTERFACES Ethernet fe\_0/0/0" at each space while the command is typed, management interface 38 prevents the user from entering a command that would otherwise result in access of a portion of configuration data 40 for which the user is not authorized.

[0047] For example, upon pressing the space key after entering "ATM" in the following command, management interface 38 automatically prevents the user entering further text or submitting the command:

```
>show interfaces ATM
ACCESS DENIED
```

Management interface 38 may display an indicator, such as "ACCESS DENIED" as illustrated above, and/or may automatically remove the portion of the text that is not explicitly allowed by the regular expression, e.g., "ATM."

[0048] As another example, upon the user entering a "?" after entering a portion of a command, management interface 38 automatically presents a list of objects that are accessible by the user and that may be used to complete the command. For example, in response to the following command:

```
>show interfaces ?
```

management interface 38 displays a list that includes "Ethernet" as a permissible entry, and does not include other interface objects that are not accessible, e.g., Sonet object 56 and ATM object 58. In this manner, management interface 38 restricts completion of the command by selection of textual labels associated with only those objects that are accessible via the user.

[0049] As another example, if the user enters a *show* command, management interface 38 automatically displays only those portions of configuration data 40 to which the user has access. In this example, in response to a *show* command, management interface 38 displays those portions of configuration data 40 that relate to SYSTEM object 52A including all dependent objects except for dependent *foo* object 62 for which access has been explicitly denied. In addition, management interface 38 displays no portion of configuration data 40 related to INTERFACES object 52B or objects dependent therefrom, except for portions of configuration data 40 relating to fe\_0/0/0 object 54 for which access has been explicitly allowed.

[0050] For the *deny-configuration* attribute, management interface 38 pre-processes the regular expression "SYSTEM login user f.\*" to produce a more explicit regular expression "SYSTEM login user f.\*\$". Assuming the user has been given course-grain access to SYSTEM configuration data, management interface 38 need only apply the regular expression to pattern match for the explicitly denied commands.

[0051] Management interface 38 may pre-process the regular expressions of the authorization class using these or other techniques depending upon the arrangement of configuration data 40. After pre-processing the regular expressions, management interface 38 updates authorization data 42 to store the defined authorization class (78).

[0052] FIG. 5 is a flowchart illustrating operation of network device 14 in applying the authorization class to provide access control to configuration data 40 consistent with the principles of the invention. Management interface 38 may apply the process of FIG. 5 upon receiving an entire command line from client 20A, or may apply the process in real-time as client 20A enters the command.

[0053] Initially, client 20A provides a command requesting access to a portion of configuration data 40 (80). In response, management interface 38 parses the command and invokes the authorization class associated with client 20A to determine whether fine-grain attributes have been defined (82).

[0054] If the authorization class includes a *deny-configuration* attribute, management interface 38 applies the associated regular expression to the command supplied by client 20A to determine whether access to the portion of configuration data 40 described by the command is explicitly denied (84). In particular, management interface 38 applies the

regular expression to the command to determine whether a match exists between the command and the textual pattern defined by the regular expression. If so, management interface 38 indicates the unauthorized access to client 20A, and rejects all or portions of the command (85).

[0055] If the authorization class has not specifically denied access to the portion of configuration data 40 described by the command, management interface 38 similarly applies the regular express associated with the *allow-configuration* class attribute, if any, to determine whether client 20A has been explicitly given access to the portion of configuration data 40 described by the command (86).

[0056] If explicit authorization has been given, and the command has been submitted by client 20A, management interface 38 allows the client to proceed with the command and, when complete, processes the command (92) to display or update the accessed portion of configuration data 40 (94). If, however, explicit authorization has neither been denied or allowed via the fine-grain access control attributes, management interface 38 accesses the course-grain access control *permissions* attribute of the authorization class to determine whether client 20A has been given broad authorization to access portions of configuration data 40 that encompass the specific portion being requested by the client (90). For example, in response to the following command,

management interface 38 determines whether the course-grain access control *permissions* attribute has been set to authorize client 20A to access portions of configuration data 40 associated with SYSTEM object 52A (FIG. 3) as well as all lower-level objects depending from these objects in the hierarchy of the configuration data 40. If so, management interface 38 processes the command (92). Otherwise, management interface 38 indicates the unauthorized access to client 20A, and rejects all or portions of the command (85).

[0057] Various embodiments of the invention have been described. These and other embodiments are within the scope of the following claims.